



BARNES DENNIG
Private Wealth Management

Brochure Supplement: Form ADV Part 2B

George S. Sparks, Jr.

Barnes Dennig Private Wealth Management, LLC
150 East Fourth Street, 3rd Floor, Cincinnati, OH 45202 | (513) 241-8313
www.barnesdennigprivatewealth.com

March 23, 2026

Item 1 Cover Page

This Brochure Supplement (“**Supplement**”) provides information about George S. Sparks, Jr. that supplements the Barnes Dennig Private Wealth Management, LLC (“**BDPW**”) Firm Brochure. You should have received a copy of that brochure. Please contact us at (513) 241-8313 or compliance@barnesdennigprivatewealth.com if you did not receive BDPW’s Firm Brochure or if you have any questions about the contents of this Supplement.

Additional information about George S. Sparks, Jr. is available on the SEC’s website www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience



George S. Sparks, Jr., CPA/PFS
Managing Director of Private Wealth
gsparks@barnesdennigprivatewealth.com
(513) 929-6073

Born 1965
CRD # 3142741

Education

- **Northern Kentucky University:** Bachelor of Business Administration (BBA), Accounting - 1988

Business Experience

- **Barnes Dennig Private Wealth Management, LLC:** Managing Director of Private Wealth - 2024 to Present
- **Barnes Dennig & Co., Ltd.:** Director - 2014 to Present
- **Biechele Royce Advisors, Inc.:** Investment Advisor Representative - 2019 to 2024

Professional Designations

- **Certified Public Accountant (CPA): 1992**
Certified Public Accountants (“CPAs”) are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states request at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous Code of Professional Conduct which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA’s Code of Professional Conduct within their state accountancy laws or have created their own. In addition to the Code of Professional Conduct, AICPA members who provide personal financial planning services are required to follow the Statement on Standards in Personal Financial Planning Services (SSPFPS).
- **Personal Financial Specialist (PFS): 2016**
The Personal Financial Specialist (“PFS”) credential demonstrates that an individual has met the minimum education, experience and testing required of a CPA in addition to a minimum level of expertise in personal financial planning. To attain the PFS credential, a candidate must hold an unrevoked CPA license, certificate, or permit, none of which are in inactive status; fulfill 3,000 hours of personal financial planning business experience; complete 75 hours of personal financial planning CPE credits; pass a comprehensive financial planning exam and be an active member of the AICPA. A PFS credential holder is required to adhere to AICPA’s Code of Professional Conduct and the Statement on Standards in Personal Financial Planning Services, when providing personal financial planning services. To maintain their PFS credential, the recipient must complete 60 hours of financial planning CPE credits every three years. The PFS credential is administered through the AICPA.

Item 3 Disciplinary Information

BDPW is required to disclose any legal or disciplinary events material to your evaluation of Mr. Sparks. Mr. Sparks has no legal or disciplinary history.

Item 4 Other Business Activities

A. Investment-Related Business Activities

Mr. Sparks does not engage in any reportable investment-related business activity or occupation.

B. Non-Investment-Related Business Activities

Mr. Sparks is a Director at Barnes, Dennig & Co., Ltd. ("**BD & Co.**"), a certified public accounting firm and affiliate of BDPW. Under his role as Director, Mr. Sparks serves on the Executive Committee and performs a range of functions that require more than 10% of his time, including marketing, business development, human resources, and firm management responsibilities. Even though Mr. Sparks is involved in the operations of the accounting firm, these responsibilities are separate from his role with BDPW and do not create a material conflict of interest with his duties as an investment advisor representative.

Additionally, Mr. Sparks is a CPA at BD & Co. As a CPA, Mr. Sparks provides accounting and tax services to a limited number of clients and conducts Technical Review Consultations, Quality Control (QC) Reviews, and Peer Reviews. These responsibilities are distinct from his role at BDPW, requiring less than 10% of his time, and do not create a material conflict of interest with executing his duties as an investment advisor representative.

However, more than 10% of Mr. Spark's total compensation is derived from BD & Co.'s accounting and tax services. If appropriate, Mr. Sparks may refer BDPW clients to BD & Co. for accounting and tax services. All accounting and tax services are performed through BD & Co., independent of BDPW, and without BDPW's supervision or oversight. Any fees incurred by the client for BD & Co.'s accounting and tax services are separate from and in addition to those fees charged by BDPW. All clients are advised that recommending BD & Co. for accounting and tax services may present a conflict of interest. Such recommendations could be influenced by the compensation BDPW's affiliate may receive, rather than being based solely on the client's best interests. Clients of BDPW are not obligated or required to utilize the services of BD & Co.

Item 5 Additional Compensation

Mr. Sparks does not receive special sales awards, other prizes, or any bonus that is based at least in part on the number or amount of sales, client referrals, or new accounts for providing advisory services.

Item 6 Supervision

As a registered investment adviser, BDPW administers and enforces a regulatory compliance program, reasonably designed to detect and prevent violations of the Investment Advisers Act of 1940 and other applicable state and federal securities laws. BDPW's compliance program is overseen by BDPW's Chief Compliance Officer, Sal A. Malik, who delegates advisor supervision responsibilities to BDPW's supervision team.

BDPW has a dedicated Supervision Team. Below are the primary points of contact for advisor supervision. Should you have any questions or concerns, please reach out to one of the following:

Emily Donaldson

Senior Designated Supervisor
emily.donaldson@spireip.com
(703) 657-6074

Andrew Baron

Director of Supervision
andrew.baron@spireip.com
(703) 657-6072



Brochure Supplement: Form ADV Part 2B

Andrew J. Bertke

Barnes Dennig Private Wealth Management, LLC
2617 Legends Way, Suite 100, Crestview Hills, KY 41017 | (859) 344-6400
www.barnesdennigprivatewealth.com

March 23, 2026

Item 1 Cover Page

This Brochure Supplement ("**Supplement**") provides information about Andrew J. Bertke that supplements the Barnes Dennig Private Wealth Management, LLC ("**BDPW**") Firm Brochure. You should have received a copy of that brochure. Please contact us at (513) 241-8313 or compliance@barnesdennigprivatewealth.com if you did not receive BDPW's Firm Brochure or if you have any questions about the contents of this Supplement.

Additional information about Andrew J. Bertke is available on the SEC's website www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience



Andrew "Andy" J. Bertke, CPA/PFS

Director of Private Wealth

abertke@barnesdennigprivatewealth.com

(859) 578-7564

Born 1962

CRD # 3143337

Education

- **Xavier University:** Master of Business Administration (MBA), Taxation/Management Advisory - 1998
- **University of Kentucky:** Bachelor of Business Administration (BBA), Accounting/Marketing - 1986

Business Experience

- **Barnes Dennig Private Wealth Management, LLC:** Director of Private Wealth - 2024 to Present
- **Barnes, Dennig & Co., Ltd.:** Director - 2014 to Present
- **Biechele Royce Advisors, Inc.:** Investment Advisor Representative - 2017 to 2024

Professional Designations, Certifications, and Exams:

- **Certified Public Accountant (CPA): Ohio CPA (1991), Kentucky CPA (1995)**
Certified Public Accountants ("CPAs") are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states request at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous Code of Professional Conduct which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's Code of Professional Conduct within their state accountancy laws or have created their own. In addition to the Code of Professional Conduct, AICPA members who provide personal financial planning services are required to follow the Statement on Standards in Personal Financial Planning Services (SSPFPS).
- **Personal Financial Specialist (PFS): 2017**
The Personal Financial Specialist ("PFS") credential demonstrates that an individual has met the minimum education, experience and testing required of a CPA in addition to a minimum level of expertise in personal financial planning. To attain the PFS credential, a candidate must hold an unrevoked CPA license, certificate, or permit, none of which are in inactive status; fulfill 3,000 hours of personal financial planning business experience; complete 75 hours of personal financial planning CPE credits; pass a comprehensive financial planning exam and be an active member of the AICPA. A PFS credential holder is required to adhere to AICPA's Code of Professional Conduct and the Statement on Standards in Personal Financial Planning Services, when providing personal financial planning services. To maintain their PFS credential, the recipient must complete 60 hours of financial planning CPE credits every three years. The PFS credential is administered through the AICPA.

Item 3 Disciplinary Information

BDPW is required to disclose any legal or disciplinary events material to your evaluation of Mr. Bertke. Mr. Bertke has no legal or disciplinary history.

Item 4 Other Business Activities

A. Investment-Related Business Activities

Mr. Bertke does not engage in any reportable investment-related business activity or occupation.

B. Non-Investment-Related Business Activities

Mr. Bertke is a Director and CPA at Barnes, Dennig & Co., Ltd. ("**BD & Co.**"), a certified public accounting firm and affiliate of BDPW. Under his role as Director, Mr. Bertke serves on the Executive Committee, provides accounting and tax services, performs Technical Review Consultations and Quality Control (QC) Reviews, and participates in Peer Reviews. While this role requires more than 10% of Mr. Bertke's time, these responsibilities are separate from his role with BDPW and do not create a material conflict of interest with his duties as an investment advisor representative.

However, more than 10% of Mr. Bertke's total compensation is derived from BD & Co.'s accounting and tax services. If appropriate, Mr. Bertke may refer BDPW clients to BD & Co. for accounting and tax services. All accounting and tax services are performed through BD & Co., independent of BDPW, and without BDPW's supervision or oversight. Any fees incurred by the client for BD & Co.'s accounting and tax services are separate from and in addition to those fees charged by BDPW. All clients are advised that recommending BD & Co. for accounting and tax services may present a conflict of interest. Such recommendations could be influenced by the compensation BDPW's affiliate may receive, rather than being based solely on the client's best interests. Clients of BDPW are not obligated or required to utilize the services of BD & Co.

Item 5 Additional Compensation

Mr. Bertke does not receive special sales awards, other prizes, or any bonus that is based at least in part on the number or amount of sales, client referrals, or new accounts for providing advisory services.

Item 6 Supervision

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BDPW has a dedicated Supervision Team, and within that team is the primary point of contact for advisor supervision. Should you have any questions or concerns, please reach out to one of the following:

Emily Donaldson

Senior Designated Supervisor
emily.donaldson@spireip.com
(703) 657-6074

Andrew Baron

Director of Supervision
andrew.baron@spireip.com
(703) 657-6072



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Ryan A. Lauer

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This Brochure Supplement ("**Supplement**") provides information about Ryan A. Lauer that supplements the Barnes Dennig Private Wealth Management, LLC ("**BDPW**") Firm Brochure. You should have received a copy of that brochure. Please contact us at (513) 241-8313 or compliance@barnesdennigprivatewealth.com if you did not receive BDPW's Firm Brochure or if you have any questions about the contents of this Supplement.

Additional information about Ryan A. Lauer is available on the SEC's website www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience



Ryan A. Lauer, CPA/PFS
Wealth Manager
rlauer@barnesdennigprivatewealth.com
(859) 344-6400
Born 1991
CRD # 5889082

Education

- **University of Kentucky:** Bachelor of Science in Accounting (BS), Accounting - 2013
- **University of Kentucky:** Bachelor of Business Administration in Finance (BA), Finance - 2013

Business Experience

- **Barnes Dennig Private Wealth Management, LLC:** Wealth Manager - 2024 to Present
- **Barnes, Dennig & Co., Ltd.:** Senior Manager - 2020 to Present

Professional Designations

- **Certified Public Accountant (CPA): 2014**
Certified Public Accountants (“CPAs”) are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states request at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous Code of Professional Conduct which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA’s Code of Professional Conduct within their state accountancy laws or have created their own. In addition to the Code of Professional Conduct, AICPA members who provide personal financial planning services are required to follow the Statement on Standards in Personal Financial Planning Services (SSPFPS).
- **Personal Financial Specialist (PFS): 2023**
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Item 3 Disciplinary Information

BDPW is required to disclose any legal or disciplinary events material to your evaluation of Mr. Lauer. Mr. Lauer has no legal or disciplinary history.

Item 4 Other Business Activities

C. Investment-Related Business Activities

Mr. Lauer does not engage in any reportable investment-related business activity or occupation.

D. Non-Investment-Related Business Activities

Mr. Lauer is a Senior Manager and CPA at Barnes, Dennig & Co., Ltd. ("BD & Co."), a certified public accounting firm and affiliate of BDPW. Under his role as Senior Manager, Mr. Lauer provides accounting, tax and consultation services. While this role requires more than 10% of Mr. Lauer's time, these responsibilities are separate from his role with BDPW and do not create a material conflict of interest with his duties as an investment advisor representative.

However, more than 10% of Mr. Lauer's total compensation is derived from BD & Co.'s accounting, tax and consultation services. If appropriate, Mr. Lauer may refer BDPW clients to BD & Co. for accounting and tax services. All accounting and tax services are performed through BD & Co., independent of BDPW, and without BDPW's supervision or oversight. Any fees incurred by the client for BD & Co.'s accounting and tax services are separate from and in addition to those fees charged by BDPW. All clients are advised that recommending BD & Co. for accounting and tax services may present a conflict of interest. Such recommendations could be influenced by the compensation BDPW's affiliate may receive, rather than being based solely on the client's best interests. Clients of BDPW are not obligated or required to utilize the services of BD & Co.

Item 5 Additional Compensation

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Item 6 Supervision

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